

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Michael H. Evelyn, et al.

Application No.: 09/668,255

Group No.: 3694

Filed: September 21, 2000

Examiner: Greene, Daniel

For: System and Method for Pricing and Allocation of Commodities or Securities

Attn: Mail Stop Issue Fee

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

TRANSMITTAL OF PAYMENT OF ISSUE FEE (37 C.F.R. § 1.311)

1. Applicant hereby pays the issue fee for the attached Issue Fee Transmittal PTOL-85.

2. Applicant

- A. Asserted small entity status in this application on September 21, 2000 by submission of a written assertion of small entity status (37 C.F.R. § 1.27(c)(1)).

Applicant hereby notifies the Office, in accordance with the requirements of 37 C.F.R. § 1.27(g)(2), that it no longer has status as a small entity.

A "NOTIFICATION OF LOSS OF STATUS AS SMALL ENTITY" signed by an appropriate party as required by 37 C.F.R. § 1.27(g)(2) and § 1.33(b) is attached.

3. Fee (Issue):

Application status is other than a small entity with a utility fee of \$1,440.00.

5. Advance Order of Copies

Number of copies ordered 10 x \$3.00 per copy (37 C.F.R. § 1.19(a)(1))

\$30.00

6. Total Fees Due

The total amount of fees due is:

issue fee \$1,440.00

additional copies \$30.00

TOTAL FEE(S) DUE \$1,470.00

7. Assignee's Name and Address To Be Printed On Patent is as follows (37 C.F.R. § 3.81):

Name of Assignee: W.R. Hambrecht + Co., LLC

Residence (City and State or Country): San Francisco, CA

Assignee category or categories (not printed on patent): Corporation or other private group entity

8. Payment of total fee due:

Authorization is hereby made to charge the amount of \$1,470.00 to Deposit Account No. 19-4972.

Charge any additional fees required by this paper or credit any overpayment in the manner authorized above.

Date: July 11, 2008

/Jay Sandvos, # 43,900/

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